· ·						
	E PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NUMBER JANS-0008				
TRANSMITTAL LETTER DESIGNATED/ELECT CONCERNING A FILE	US APPLICATION (15 thown see 32 C.F.R. 15)					
INTERNATIONAL APPLICATION NO. PCT/EP99/10257	INTERNATIONAL FILING DATE 20 December 1999	PRIORITY DATE CLAIMED 24 December 1998				
TITLE OF INVENTION CONTROLLED R	ELEASE GALANTAMINE COMPOSITION					
APPLICANT(S) FOR DO/EO/US John Pau CONDE; Herman Johannes Catherina de BRU		e Germain DE WEER; Valentin Florent Victor de				
Applicant herewith submits to the United Stat	es Designated/Elected Office (DO/EO/US) the foll	owing items and other information:				
 This is a SECOND or SUBSEQU X This express request to begin nation expiration of the applicable time limits. 	 X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. X This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 					
 a is transmitted herewith (required of b. X has been transmitted by the Internation of is not required, as the application of the company of th	 X A copy of the International Application as filed (35 U.S.C. 371(c)(2)). a is transmitted herewith (required only if not transmitted by the International Bureau). b. X has been transmitted by the International Bureau. 					
a are transmitted herewith (required have been transmitted by the Internct have not been made; however, the	have been transmitted by the International Bureau.					
8 A translation of the amendments to	the claims under PCT Article 19 (35 U.S.C. 371(2)(3)).				
9 An oath or declaration of the inver	stor(s) 35 U.S.C. 371(c)(4).					
10 A translation of the annexes to the	International Preliminary Examination Report und	ler PCT Article 36 (35 U.S.C. 371(c)(5)).				
Items 11. to 16. below concern other docum						
12 An assignment document for record	ding. A separate cover sheet in compliance with 3	7 CFR 3.28 and 3.31 is included.				
13. X A FIRST preliminary amendment. A SECOND or SUBSEQUENT pr	eliminary amendment.					
14 A substitute specification.						
15 A change of power of attorney and	/or address letter.					
 Other items or information: A copy of the Published PCT Application by WIPO under No. WO 00/38686, including the Search Report and references cited. A copy of the International Preliminary Examination Report; Associate Power of Attorney. 						
- A copy of the International Preliminary Examination Report; Associate Power of Attorney. EXPRESS MAIL Mailing Label No. EL 922206045 US Date of Deposit: June 21, 2001 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Pater Washington, D.C. 20231 MAILER John Hill SIGNATURE						

U.S. APPLICATION NO. (1f known 37 C F.R. 1) INTERNATIONAL APPLICATION NO. PCT/EP99/10257				ATTORNEY DOCKE JANS-0008	T NUMBER	
Neither internat nor internationa and Internationa	7 CFR 1.492(a)(1) - (5)): ional preliminary examina 1 search fee (37 CFR 1.44 al Search Report not prepa	CALCULATIONS	PTO USE ONLY			
but Internationa	eliminary examination fee l Search Report has been p	prepared by the EPO or J	PO \$860.00			
international sea	eliminary examination fee surch fee (37 CFR 1.445(a))	(2)) paid to USPTO	\$710.00			
International pre all claims did no	eliminary examination fee of satisfy provisions of PC	paid to USPTO (37 CFR T Article 33(1)-(4)	1.482) but\$690.00			
International pre all claims satisfi	eliminary examination fee ed provisions of PCT Arti	paid to USPTO (37 CFR cle 33(1)-(4)	1.482) and\$100.00			
	ENTE	R APPROPRIATE BA	SIC FEE AMOUNT =	\$860.00		
Surcharge of \$130.00 f the earliest claimed pri	for furnishing the oath or cority date (37 CFR 1.492)	declaration later that _ 20 e)).	_ 30 months from	\$		
Claims	Number Filed	Number Extra	Rate			
otal claims	30 - 20 =	10	X \$18.00	\$180.00		
Independent Claims	1 - 3 =	0	x \$80.00	\$		
Multiple dependent cla	ims(s) (if applicable)		+ \$270.00	\$		
Control of the contro		TOTAL OF ABOVE	CALCULATIONS =	\$1,040.00		
Applicant claims reduced by ½.	s small entity status. See 3	37 CFR 1.27. The fees in	dicated above are	\$		
entered to the second to the s		<u> </u>	SUBTOTAL =	\$1,040.00		
from the earliest claime	.00 for furnishing the Enged priority date (37 CFR 1	lish translation later the492(f)).	_20 _30 months +			
Canada Sanada Sa		тота	L NATIONAL FEE =	\$1,040.00		
Fee for recording the er accompanied by an app	nclosed assignment (37 Cloropriate cover sheet (37 C	FR 1.21(h)). The assignm FR 3.28, 3.31). \$40.00 p	nent must be per property +			
		TOTAL	FEES ENCLOSED =	\$1,040.00		
				Amount to be: refunded	\$	
				charged	\$	
a. X A check in the ar	nount of \$ 1,040.00 to co	ver the above fee is enclo	osed.			
b Please charge my	Deposit Account No. 23	-3050 in the amount of \$	to cover the above	fees. A duplicate copy of	of this sheet is enclosed.	
	c. X The Commissioner if hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3050. A duplicate copy of this sheet is enclosed.					
	propriate time limit unde prestore the application		5 has not been met, a pe	tition to revive (37 CFR	1.137(a) or (b)) must	
SEND ALL CORRESP David N. Farsiou Woodcock Washburn k Mackiewicz & Norris One Liberty Place - 46t Philadelphia, PA 1910.	Surtz LLP h Floor		SIGNATURE David N. Farsiou NAME 44,104			
(215) 568-3100		BER				

DOCKET NO.: JANS-0008/JAB-1461

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

McGee et al.

U.S. Serial No.: Not yet assigned

Group Art Unit: Not yet assigned

Filed: Not yet assigned

Examiner: Not yet assigned

For: CONTROLLED RELEASE GALANTAMINE COMPOSITION

Assistant Commissioner of Patents & Trademarks Washington, DC 20231

PRELIMINARY AMENDMENT

Please amend the application, without prejudice, as follows.

In the Claims

Please amend claims 1, 4-5, 9, 11, 14-16, 19, 21, 23-25, 27-29 as follows:

1. (Amended) A controlled release formulation containing galantamine as the active ingredient, comprising particles comprising galantamine or a pharmaceutically acceptable acid addition salt thereof, and a water soluble pharmaceutically acceptable excipient, said particles being coated by a release rate controlling membrane coating.

- 4. (Amended) A formulation according to claim 3 wherein the water soluble film forming polymer is a polymer that has an apparent viscosity of 1 to 100 mPa.s.
- 5. (Amended) A formulation according to claim 4 wherein the water soluble polymer is selected from
 - (a) alkylcelluloses,
 - (b) hydroxyalkylcelluloses,
 - (c) hydroxyalkyl alkylcelluloses,
 - (d) carboxyalkylcelluloses,
 - (e) alkali metal salts of carboxyalkylcelluloses,
 - (f) carboxyalkylalkylcelluloses,
 - (g) carboxyalkylcellulose esters,
 - (h) starches,
 - (i) pectines,
 - (j) chitine derivatives,
 - (k) polysaccharides,
 - (1) polyacrylic acids and the salts thereof,
 - (m) polymethacrylic acids and the salts thereof, methacrylate copolymers,
 - (n) polyvinylalcohol,
 - (o) polyvinylpyrrolidone, copolymers of polyvinylpyrrolidone with vinyl acetate, or
 - (p) polyalkylene oxides.
- 9. (Amended) A formulation according to claim 8 wherein the inert spheres are 16-60 mesh sugar spheres.
- 11. (Amended) A formulation according to claim 10 wherein the water insoluble polymer is ethylcellulose and the plasticizer is selected from the group consisting of dibutyl sebacate, diethyl phthalate and triethyl citrate.

- 14. (Amended) A formulation according to claim 1 further comprising a topcoat comprising galantamine and water-soluble polymer.
- 15. (Amended) A formulation according to claim 14 capable of releasing from 20 to 40 % of the total amount of galantamine. HBr in 1 hour, and more than 80 % of the total amount of galantamine. HBr in 10 hours.
- 16. (Amended) A dosage form comprising a therapeutically effective amount of the controlled release formulation of claim 1.
- 19. (Amended) A dosage form according to claim 18 wherein said immediate release form comprises particles comprising galantamine or a pharmaceutically acceptable acid addition salt thereof, and a water soluble pharmaceutically acceptable excipient.
- 21. (Amended) A dosage form according to claim 18 wherein said immediate release form comprises said controlled release formulation, and a topcoat comprising galantamine and water-soluble polymer.
- 23. (Amended) A pharmaceutical package comprising a container, a formulation of galantamine as claimed in claim 1, and written matter specifying how said formulation should be administered.
- 24. (Amended) A pharmaceutical package as claimed in claim 23 adapted for treating a patient who is acetylcholine esterase inhibitor-naïve, comprising 21-35 daily sequential dosage units of
 - (a) a first group of 7 to 14 dosage units comprising from 5 to 10 mg galantamine,
 - (b) a second group of 7 to 14 dosage units comprising from 10 to 20 mg galantamine,
 - (c) a third group of 7 to 14 dosage units comprising from 15 to 30 mg galantamine, and
 - (d) optionally a fourth group of 7 dosage units comprising from 20 to 40 mg galantamine.

- 25. (Amended) A pharmaceutical package as claimed in claim 23 adapted for treating a patient who is acetylcholine esterase inhibitor-tolerant, comprising daily dosage units comprising from 15 to 30 mg galantamine.
- 27. (Amended) A method of treating Alzheimer's dementia and related dementias in a human while substantially reducing the concomitant liability of adverse effects associated with acetyl cholinesterase inhibitors, comprising administering to a human in need of such treatment, a therapeutically effective amount of galantamine in a controlled release formulation as claimed in claim 1, said amount being sufficient to alleviate said Alzheimer's dementia and related dementias, but insufficient to cause said adverse effects.
- 28. (Amended) A method according to claim 27 wherein the related dementia is selected from the group consisting of vascular dementia, Lewy body disease, autism, mental retardation, bipolar disorder psychiatric conditions, disruptive behaviour, attention deficiet, hyperactivity disorder, substance abuse, extreme aggression, especially conduct disorder, nicotine cessation and withdrawal.
- 29. (Amended) A method according to claim 27 wherein the adverse effects are selected from the group consisting of nausea, vomiting, sweating, restlessness, and insomnia.

Please add claim 30 as follows:

30. (New) A formulation according to claim 5 wherein the water soluble polymer is selected from the group consisting of methylcellulose, hydroxymethylcellulose, hydroxyethylcellulose, hydroxypropylcellulose, hydroxybutylcellulose, hydroxyethyl methylcellulose, hydroxypropyl methylcellulose, carboxymethylcellulose, sodium carboxymethylcellulose, carboxymethylcellulose, sodium carboxymethylamylopectine, chitosan, alginic acid, alkali metal and ammonium salts thereof, carrageenans, galactomannans, traganth, agar-agar, gummi

arabicum, guar gummi, xanthan gummi, polyethylene oxide, polypropylene oxide, and copolymers of ethylene oxide and propylene oxide.

REMARKS

Claims 1-30 are presented. Claims 1, 4-5, 9, 11, 14-16, 19, 21, 23-25, 27-29 have been amended. Claim 30 has been added and no claims have been canceled.

The claims have been amended to remove multiple dependencies and to effect minor editorial amendments.

Attached hereto is a marked-up version of the changes made to the specification and claims by the current amendment. The attached page is captioned "Version with markings to show changes made." Entrance of the foregoing amendments and an early and favorable Action is respectfully requested.

Respectfully submitted,

Date: 6/21/01

David N. Farsiou

Registration No. 44,104

WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS One Liberty Place - 46th Floor Philadelphia, PA 19103 (215) 568-3100

PATENT

VERSION WITH MARKINGS TO SHOW CHANGES MADE

In the claims:

Please amend claims 1, 4-5, 9, 11, 14-16, 19, 21, 23-25, 27-29 as follows:

- 1. (Amended) A controlled release formulation containing galantamine as the active ingredient, [characterized in that it comprises] comprising particles comprising galantamine or a pharmaceutically acceptable acid addition salt thereof, and a water soluble pharmaceutically acceptable excipient [and optionally other pharmaceutically acceptable excipients], said particles being coated by a release rate controlling membrane coating.
- 4. (Amended) A formulation according to claim 3 wherein the water soluble film forming polymer is a polymer that has an apparent viscosity of 1 to 100 mPa.s [when dissolved in a 2% aqueous solution at 20°C solution].
- 5. (Amended) A formulation according to claim 4 wherein the water soluble polymer is selected from [the group comprising]
 - [-](a)alkylcelluloses [such as methylcellulose],
 - [-] <u>(b)</u> hydroxyalkylcelluloses [such as hydroxymethylcellulose, hydroxyethylcellulose,
 - hydroxypropylcellulose and hydroxybutylcellulose],
 - hydroxyalkyl alkylcelluloses [such as hydroxyethyl methylcellulose and hydroxypropyl methylcellulose],
 - [-](d)carboxyalkylcelluloses [such as carboxymethylcellulose],
 - alkali metal salts of carboxyalkylcelluloses [such as sodium [-] <u>(e)</u> carboxymethylcellulose],

- [-] (f) carboxyalkylalkylcelluloses [such as carboxymethylethylcellulose],
- [-] (g) carboxyalkylcellulose esters,
- [-] (h) starches,
- [-] (i) pectines [such as sodium carboxymethylamylopectine],
- [-] (j) chitine derivatives [such as chitosan],
- [-] (k) polysaccharides [such as alginic acid, alkali metal and ammonium salts thereof, carrageenans, galactomannans, traganth, agar-agar, gummi arabicum, guar gummi and xanthan gummi],
- [-] (1) polyacrylic acids and the salts thereof,
- [-] (m) polymethacrylic acids and the salts thereof, methacrylate copolymers,
- [-] (n) polyvinylalcohol,
- [-] (o) polyvinylpyrrolidone, copolymers of polyvinylpyrrolidone with vinyl acetate, or
- [-] (p) polyalkylene oxides [such as polyethylene oxide and polypropylene oxide and copolymers of ethylene oxide and propylene oxide].
- 9. (Amended) A formulation according to claim 8 wherein the inert spheres are 16-60 mesh [(1,180-250 m)] sugar spheres [(NF XVII, page 1989)].
- 11. (Amended) A formulation according to claim 10 wherein the water insoluble polymer is ethylcellulose and the plasticizer is selected from the group [comprising] consisting of dibutyl sebacate, diethyl phthalate and triethyl citrate.
- 14. (Amended) A formulation according to [any one of] claim[s] 1 [to 13] further comprising a topcoat comprising galantamine and water-soluble polymer.
- 15. (Amended) A formulation according to claim 14 capable of releasing [in USP buffer pH 6.8 at 37°C in an Apparatus 2 (USP 23, <711> Dissolution, pp 1791-1793, paddle, 50 rpm)] from 20 to 40 % of the total amount of galantamine.HBr in 1 hour, and more than 80 % of the total amount of galantamine.HBr in 10 hours.

- 16. (Amended) A dosage form comprising a therapeutically effective amount of the controlled release formulation of [any of] claim[s] 1 [to 15].
- 19. (Amended) A dosage form according to claim 18 wherein said immediate release form comprises particles [as described in claim 1 lacking the release rate controlling membrane] comprising galantamine or a pharmaceutically acceptable acid addition salt thereof, and a water soluble pharmaceutically acceptable excipient.
- 21. (Amended) A dosage form according to claim 18 wherein said immediate release form comprises [a] said controlled release formulation [of claim 14], and a topcoat comprising galantamine and water-soluble polymer.
- 23. (Amended) A pharmaceutical package [suitable for commercial sale] comprising a container, a formulation of galantamine as claimed in claim 1, and [associated with said package] written matter specifying how said formulation should be administered.
- 24. (Amended) A pharmaceutical package as claimed in claim 23 adapted for [titrating] treating a patient who is [']acetylcholine esterase inhibitor[']-naïve, [characterized in that said package comprises] comprising 21-35 daily sequential dosage units of
 - (a) a first group of 7 to 14 dosage units comprising from 5 to 10 mg galantamine,
 - (b) a second group of 7 to 14 dosage units comprising from 10 to 20 mg galantamine,
 - (c) a third group of 7 to 14 dosage units comprising from 15 to 30 mg galantamine, and
 - (d) optionally a fourth group of 7 dosage units comprising from 20 to 40 mg galantamine.
- 25. (Amended) A pharmaceutical package as claimed in claim 23 adapted for treating a patient who is [']acetylcholine esterase inhibitor[']-tolerant, [characterized in that said package comprises] comprising daily dosage units comprising from 15 to 30 mg galantamine.

- 27. (Amended) A method of treating Alzheimer's dementia and related dementias in a human while substantially reducing [(avoiding)] the concomitant liability of adverse effects associated with acetyl cholinesterase inhibitors, comprising administering to a human in need of such treatment, a therapeutically effective amount of galantamine in a controlled release formulation as claimed in claim 1, said amount being sufficient to alleviate said Alzheimer's dementia and related dementias, but insufficient to cause said adverse effects.
- 28. (Amended) A method according to claim 27 wherein the related dementia [belongs to] is selected from the group consisting of vascular dementia, Lewy body disease, autism, mental retardation, bipolar disorder psychiatric conditions, disruptive behaviour, attention deficiet, hyperactivity disorder, substance abuse, extreme aggression, especially conduct disorder, nicotine cessation and withdrawal.
- 29. (Amended) A method according to claim 27 wherein the adverse effects [belong to] <u>are</u> <u>selected from</u> the group [comprising] <u>consisting of nausea</u>, vomiting, sweating, restlessness, and insomnia.

Please add claim 30 as follows:

30. (New) A formulation according to claim 5 wherein the water soluble polymer is selected from the group consisting of methylcellulose, hydroxymethylcellulose, hydroxyethylcellulose, hydroxypropylcellulose, hydroxybutylcellulose, hydroxyethyl methylcellulose, hydroxypropyl methylcellulose, carboxymethylcellulose, sodium carboxymethylcellulose, carboxymethylcellulose, sodium carboxymethylamylopectine, chitosan, alginic acid, alkali metal and ammonium salts thereof, carrageenans, galactomannans, traganth, agar-agar, gummi arabicum, guar gummi, xanthan gummi, polyethylene oxide, polypropylene oxide, and copolymers of ethylene oxide and propylene oxide.

20

30

CONTROLLED RELEASE GALANTAMINE COMPOSITION

The present invention is concerned with controlled release compositions for oral administration comprising galantamine; and with processes of preparing such controlled release compositions.

Galantamine (I), a tertiary alkaloid, has been isolated from the bulbs of the Caucasian snowdrops *Galanthus woronowi* (Proskurnina, N. F. and Yakoleva, A. P. 1952,

Alkaloids of *Galanthus woronowi*. II. Isolation of a new alkaloid. (In Russian.) Zh.

Obschchei Khim. (J. Gen. Chem.) 22, 1899-1902). It has also been isolated from the common snowdrop *Galanthus nivalis* (Boit, 1954).

The chemical name of galantamine is [4aS-(4aα, 6β, 8aR*)]-4a, 5, 9, 10, 11, 12-hexahydro-3-methoxy-11-methyl-6H-benzofuro[3a, 3, 2-ef][2]benzazepin-6-ol; both the base compound and its hydrobromide are laevorotatory. Galantamine is a well-known acetylcholinesterase inhibitor which is active at nicotinic receptor sites but not on muscarinic receptor sites. It is capable of passing the blood-brain barrier in humans, and presents no severe side effects in therapeutically effective dosages.

Galantamine has been used extensively as a curare reversal agent in anaesthetic practice in Eastern bloc countries (cf. review by Paskow, 1986) and also experimentally in the West (cf. Bretagne and Valetta, 1965: Wislicki, 1967; Consanitis, 1971).

Galantamine has been marketed by Waldheim (Sanochemia Gruppe) as NivalinTM in Germany and Austria since the 1970s for indications such as facial neuralgia.

The use of galantamine or an analogue or a pharmaceutically acceptable acid addition salt thereof for the preparation of a medicament for treating Alzheimer's Dementia (AD) and related dementias has been described in EP-0,236,684 (US-4,663,318). This patent only has a generic disclosure of possible dosage forms of galantamine.

10

15

20

25

30

35

CA-1,326,632 generically discloses slow release formulations of galantamine.

The use of galantamine for treating alcoholism and the administration via a transdermal therapeutic system (TTS) or patch is disclosed in EP-0,449,247 and WO-94/16707. Similarly, the use of galantamine in the treatment of nicotine dependence using administration via a transdermal therapeutic system (TTS) or patch is disclosed in WO-94/16708. Treatment of nerve gas poisoning is disclosed in DE-4,342,174.

A number of applications by E. Snorrason disclose the use of galantamine, analogues thereof and pharmaceutically acceptable salts thereof for the preparation of medicaments for treating mania (US-5,336,675), chronic fatigue syndrome (CFS) (EP-0,515,302; US-5,312,817), the negative effects of benzodiazepine treatment (EP-0,515,301) and the treatment of schizophrenia (US-5,633,238). In these applications and patents, e.g. in US-5,312,817, a number of immediate release tablet formulations of galantamine hydrobromide are given.

WO-97/47304 discloses fast-dissolving or immediate release tablets of galantamine prepared by direct compression. These and other art-known immediate release tablets are administered twice (b.i.d.) or thrice (t.i.d.) daily with an interval of 8 hours. The plasma levels of the active ingredient typically raise sharply (early T_{max} and relatively high C_{max}) and decline rapidly (deep trough after about 6 to 8 hours).

Therapy with galantamine can be considered optimal when effective plasma levels are reached when required. In addition, peak values (C_{max}) should be as low and level as possible so as to reduce the incidence and severity of possible side effects. The foregoing requirements not only apply upon single dose administration, but also upon repeated dose administration (until a steady-state condition is reached). In particular, when treating a patient suffering from Alzheimer's Disease, optimum efficacy is expected when effective plasma levels are maintained during daytime; during nighttime galantamine plasma levels probably may be lower. For the treatment of other conditions, for example for treating sleep disordered breathing such as snoring and apnoea (WO-97/22339), one may wish to attain the reverse situation, namely to have effective plasma levels during the night, and lower levels during daytime. For the benefit of the patient and the caretakers, a pharmaceutical dosage form that has to be administered once daily only and yields effective plasma levels for eight hours (nighttime) to 16 hours (daytime) would be highly desirable.

The present invention relates to a controlled release formulation containing galantamine

as the active ingredient, characterized in that it comprises particles comprising galantamine or a pharmaceutically acceptable acid addition salt thereof, a water soluble pharmaceutically acceptable excipient and optionally other pharmaceutically acceptable excipients, said particles being coated by a release rate controlling membrane coating.

Dosage forms comprising a therapeutically effective amount of said controlled release formulations can be administered orally to a patient once daily. In preferred dosage forms, part of the galantamine is present in an immediate release form, for example, as particles lacking a release rate controlling membrane coating, or as immediate release minitablets, or as as a topcoat on the controlled release formulation.

10

20

25

Preferably, the formulations according to the present invention comprise galantamine in the form of galantamine hydrobromide (1:1).

The water soluble excipient can conveniently be a film forming polymer. Useful water soluble film forming polymers are polymers that have an apparent viscosity of 1 to 100 mPa.s when dissolved in a 2 % aqueous solution at 20°C solution. For example, the water soluble polymer can be selected from the group comprising

- alkylcelluloses such as methylcellulose,
- hydroxyalkylcelluloses such as hydroxymethylcellulose, hydroxyethylcellulose, hydroxypropylcellulose and hydroxybutylcellulose,
- hydroxyalkyl alkylcelluloses such as hydroxyethyl methylcellulose and hydroxypropyl methylcellulose,
- carboxyalkylcelluloses such as carboxymethylcellulose,
- alkali metal salts of carboxyalkylcelluloses such as sodium carboxymethylcellulose.
- carboxyalkyl alkylcelluloses such as carboxymethyl ethylcellulose,
- carboxyalkylcellulose esters,
- starches,
- pectines such as sodium carboxymethylamylopectine,
- 30 chitine derivates such as chitosan,
 - polysaccharides such as alginic acid, alkali metal and ammonium salts thereof, carrageenans, galactomannans, traganth, agar-agar, gummi arabicum, guar gummi and xanthan gummi,
 - polyacrylic acids and the salts thereof,
- 35 polymethacrylic acids and the salts thereof, methacrylate copolymers,
 - polyvinylalcohol,
 - polyvinylpyrrolidone, copolymers of polyvinylpyrrolidone with vinyl acetate

10

15

20

- polyalkylene oxides such as polyethylene oxide and polypropylene oxide and copolymers of ethylene oxide and propylene oxide.

Non-enumerated polymers which are pharmaceutically acceptable and have appropriate physico-chemical properties as defined hereinbefore are equally suited for preparing particles according to the present invention.

Preferred water-soluble polymers are for example hydroxypropyl methylcellulose (Methocel®, Pharmacoat®), polymethacrylate (Eudragit E®), hydroxypropylcellulose (Klucel®), or a polyvidone. Especially preferred water-soluble polymers are hydroxypropyl methylcelluloses or HPMC. Said HPMC contains sufficient hydroxypropyl and methoxy groups to render it water-soluble. HPMC having a methoxy degree of substitution from about 0.8 to about 2.5 and a hydroxypropyl molar substitution from about 0.05 to about 3.0 are generally water-soluble. Methoxy degree of substitution refers to the average number of methyl ether groups present per anhydroglucose unit of the cellulose molecule. Hydroxypropyl molar substitution refers to the average number of moles of propylene oxide which have reacted with each anhydroglucose unit of the cellulose molecule. Hydroxypropyl methylcellulose is the United States Adopted Name for hypromellose (see Martindale, The Extra Pharmacopoeia, 29th edition, page 1435). Preferably hydroxypropyl methylcellulose with low viscosity, i.e. about 5 mPa.s, is used, e.g. hydroxypropyl methylcellulose 2910 5 mPa.s. In the four digit number "2910", the first two digits represent the approximate percentage of methoxyl groups and the third and fourth digits the approximate percentage composition of hydroxypropoxyl groups. 5 mPa.s is a value indicative of the apparent viscosity of a 2 % aqueous solution at 20°C.

25

30

Suitable HPMC include those having a viscosity from about 1 to about 100 mPa.s, in particular form about 3 to about 15 mPa.s, preferably about 5 mPa.s. The most preferred type of HPMC having a viscosity of 5 mPa.s., is the commercially available HPMC 2910 5 mPa.s. An equally preferred type of HPMC is admixed with polyethylene glycol 400, commercially available from Colorcon (UK) as OpadryTM OY-7240 clear.

The weight-by-weight ratio of drug: polymer is in the range of 17:1 to 1:5, preferably 10:1 to 1:3. In the case of (galantamine.HBr): (HPMC 2910 5 mPa.s), said ratio may range from about 10:1 to about 1:3, and optimally is from 7:1 to 1:2. The weight-by-weight ratio of galantamine.HBr to other water-soluble polymers may be determined by a person skilled in the art by straightforward experimentation. The lower limit is determined by practical considerations.

20

25

30

WO 00/38686 PCT/EP99/10257

-5-

In particular the present invention is concerned with particles which comprise (a) a central, rounded or spherical core, (b) a layer or a coating film of a water-soluble polymer and galantamine hydrobromide (1:1), (c) optionally a seal-coating polymer layer and (d) a release rate controlling membrane coating. The core has a diameter of about 250 to about 1,180 μ m (16-60 mesh), preferably of about 600 to about 1,180 μ m (16-30 mesh).

Pellets, beads or cores of the dimensions mentioned herein can be obtained by sieving through nominal standard test sieves as described in the CRC Handbook, 64th ed., page F-114. Nominal standard sieves are characterized by the mesh/hole width (μm), DIN 4188 (mm), ASTM E 11-70 (No), Tyler® (mesh) or BS 410 (mesh) standard values. Throughout this description and the claims, particle sizes are designated by reference to the mesh/hole width in μm and to the corresponding Sieve No in the ASTM E11-70 standard.

Materials suitable for use as cores in the particles according to the present invention are manifold, provided that said materials are pharmaceutically acceptable and have appropriate dimensions (about 16-60 mesh) and firmness. Examples of such materials are polymers e.g. plastic resins; inorganic substances, e.g. silica, glass, hydroxyapatite, salts (sodium or potassium chloride, calcium or magnesium carbonate) and the like; organic substances, e.g. activated carbon, acids (citric, fumaric, tartaric, ascorbic and the like acids), and saccharides and derivatives thereof. Particularly suitable materials are saccharides such as sugars, oligosaccharides, polysaccharides and their derivatives, for example, glucose, rhamnose, galactose, lactose, sucrose, mannitol, sorbitol, dextrin, maltodextrin, cellulose, microcrystalline cellulose, sodium carboxymethyl cellulose, starches (maize, rice, potato, wheat, tapioca) and the like saccharides.

A particularly preferred material suitable for use as cores in the particles according to the present invention is represented by 16-60 mesh sugar spheres (USP 22 / NF XVII, p. 1989) which consist of 62.5% - 91.5% (w/w) sucrose, the remainder being starch and possibly also dextrines, and which are pharmaceutically inert or neutral. Consequently, these cores are also known in the art as neutral pellets.

Depending on the weight-by-weight ratio of drug: polymer, the water-soluble polymer and galantamine are herein said to form either a layer (ratio > 10:1) or a coat or coating film (ratio < 10:1).

As an alternative to the drug layered or drug coated inert pellets described thus far, suitable particles comprising glantamine may also be formed by granules or by spheroids (spherical granules) prepared according to art-known methods of granulation and spheronization.

5

10

The release rate controlling membrane coating comprises a water insoluble polymer and optionally a plasticizer. Said polymer is ethylcellulose and the plasticizer is selected from the group comprising dibutyl sebacate, diethyl phthalate and triethyl citrate. It is useful to modify the properties of the water-insoluble polymer by the addition of particular amounts of a water-soluble polymer as described hereinbefore, preferably HPMC. The addition of the water-soluble polymer is especially useful to increase the onset of action. For the particles according to the present invention, the ratio ethylcellulose: HPMC can vary from 100: 0 to about 70: 30, in particular from about 80: 20 to about 72.5 - 27.5, more in particular from about 75: 25 to about 72.5: 27.5. The release rate controlling membrane coating may be applied to the drug coated cores in an aqueous dispersion (AquacoatTM, SureleaseTM), or as a solution in an organic solvent system. A useful organic system comprises an alcohol, *e.g.* methanol or ethanol, and optionally a chlorinated hydrocarbon such as for example dichloromethane.

20

15

The weight of the release rate controlling membrane coating ranges from 3 % to 15 % of the uncoated particle, in particular from about 4% to about 12 %. The rate of release of the active ingredient from the particles is approximately inversely proportional with the thickness of the release rate controlling membrane coating.

25

30

35

A seal coat lies optionally between the drug core and the release rate controlling membrane coating. The seal coating polymer layer is applied to the drug coated cores to prevent sticking of the particles during the process and to prevent migration of the drug into the the release rate controlling membrane. Preferably, a thin layer of HPMC 2910 5 mPa.s and polyethylene glycol (PEG), in particular polyethylene glycol 400 is used as a seal coating polymer layer.

In addition, the particles according to the present invention may further contain various additives such as thickening agents, lubricants, surfactants, preservatives, complexing and chelating agents, electrolytes or other active ingredients.

The particles can be filled in hard-gelatin capsules such that a therapeutically effective amount of, for example, 8 to 32 mg of the active ingredient is available per dosage

WO 00/38686 PCT/EP99/10257

-7-

form. An advantageous pharmacokinetic profile profile (fast onset, level peak and trough values) is obtained when from 70 to 80 % of the galantamine is comprised within the controlled release particles and the remaining 20 to 30 % of the galantamine is comprised in an immediate release form, preferaby the controlled releases particle amount to 75 % of the galantamine and the immediate release form to 25 %.

In order to achieve the desired pharmacokinetic, the dosage forms may be filled with particles that release the active ingredient at different rates, at least one kind that releases the active ingredient slowly, and at least one kind that releases the active ingredient more rapidly, in particular one kind that releases the active ingredient immediately, e.g. particles as described that lack the release rate controlling membrane. The different particles may be filled consecutively in the capsules, or they may be premixed and the thus obtained premix may be filled into the capsules (taking into account possible segregation).

15

10

5

Alternatively, the controlled release particles of the present invention may further comprise a top-coat of a water-soluble polymer as described hereinbefore and galantamine which is released practically immediately upon ingestion and thus ensures a rapid onset of action.

20

25

30

Another alternative solution for providing a dosage form with a pharmacokinetic profile as outlined, namely with a fast onset, level peak and trough values, comprises filling a capsule with controlled release particles as described hereinbefore (70 to 80 %, preferably 75 % of the galantamine dose) together with one or more minitablets which comprise the remaining 20 to 30 %, preferably 25 % of galantamine. Suitable immediate release tablet formulations of galantamine have been described previously in WO-97/47304.

The present invention also relates to processes of preparing formulations as described hereinbefore comprising admixing galantamine or a pharmaceutically acceptable salt form thereof with a water soluble excipient to form a drug core, optionally applying a seal coat to the drug core, and thereafter applying the release rate controlling membrane coating.

The particles according to the present invention are conveniently prepared in the following manner. A drug coating solution is prepared by dissolving into a suitable solvent system appropriate amounts of galantamine. HBr and a water-soluble polymer. A suitable solvent system comprises purified water or an alcohol, preferably ethanol

which may be denatured, for example, with butanone. The amounts of solids, *i.e.* galantamine.HBr and water-soluble polymer, in the drug coating solution may range from 10 to 30% (w/w) and preferably is about 25%. The solution is preferably stirred during the coating process.

5

10

15

20

35

The drug coating process (on an industrial scale) is conveniently conducted in a fluidized bed granulator (e.g. Glatt type WSG-30 or GPCG-30) equipped with a Wurster bottom spray insert (e.g. an 18 inch Wurster insert). Laboratory scale process development can be performed on a Glatt type WSG-1 with a 6 inch Wurster bottom insert. Obviously the process parameters depend on the equipment used.

The spraying rate should be regulated carefully. Too low a spraying rate can cause some spray drying of the drug coating solution and result in a loss of product. Too high a spraying rate will cause overwetting with subsequent agglomeration. Agglomeration being the most serious problem, lower spraying rates may be used initially, to be increased as the coating process proceeds and the particles grow larger.

The atomizing air pressure with which the drug coating solution is applied also influences the coating performance. Low atomizing air pressure results in the formation of larger droplets and an increased tendency toward agglomeration. High atomizing air pressure could conceivably carry the risk of spray drying the drug solution, but this was found not to be a problem. Consequently, atomizing air pressure may be set at nearly maximum levels.

Fluidizing air volume can be monitored by operating the exhaust air-valve of the apparatus and should be set in such a manner that optimum pellet circulation is obtained. Too low an air volume will cause insufficient fluidization of the pellets; too high an air volume will interfere with the pellet circulation due to countercurrent air streams developing in the apparatus. In the present process optimum conditions were obtained by opening the exhaust air valve to about 50% of its maximum and gradually increasing the opening thereof to about 60% of the maximum as the coating process proceeded.

The coating process is advantageously conducted by employing an inlet-air temperature ranging from about 50°C to about 55°C. Higher temperatures may speed up the process but have the disadvantage that solvent evaporation is so rapid that the coating liquid is not spread uniformly on the surface of the pellets resulting in the formation of a drug coating layer with high porosity. As the bulk volume of the coated pellets increases,

15

20

25

30

35

WO 00/38686 PCT/EP99/10257

-9-

drug dissolution may decrease significantly to unacceptable levels. Obviously, the optimum process temperature will further depend on the equipment used, the nature of the core, the batch volume, the solvent and the spraying rate.

Parameter settings for optimum coating results are described in more detail in the example hereinafter. Running the coating process under those conditions was found to yield very reproducible results.

In order to decrease residual solvent levels in the pellets following application of the rate controlling membrane from an organic solution, the pellets can conveniently be dried in any suitable drying apparatus. Good results may be obtained using a vacuum tumbler-drier operated at a temperature from about 60°C to about 90°C, preferably about 80°C, a reduced pressure ranging from about 150-400 mbar (15-40 kPa), preferably 200-300 mbar (20-30 kPa), for at least 24 hours, preferably about 36 hours. The vacuum tumbler-drier is conveniently rotated at its minimum speed, e.g. 2 to 3 rpm. After drying, the drug coated cores may be sieved.

The seal coat layer is applied to the drug coated cores in the fluidized bed granulator with Wurster bottom spray insert or in a powder coater. The seal coating solution can be prepared by dissolving an appropriate amount of a seal coating polymer into a suitable solvent system. Such a system, is, e.g. purified water or an alcohol, preferably ethanol which may be denatured with, for example, butanone. The amount of seal coating polymer in the seal coating spraying solution may range from 5 to 10% (w/w) and preferably is about 6.6%. The seal coating spraying solution is advantageously stirred during the seal coating process. Appropriate conditions are described in more detail in the example hereinafter.

A further drying step may be required after applying the seal coating polymer layer. Excess solvents could easily be removed while operating the apparatus at the parameter settings used for about 5 to 15 minutes after the spraying had been completed.

The release rate controlling membrane coating polymer layer is applied to the drug (or seal) coated cores in a fluidized bed granulator with Wurster bottom spray insert. The release rate controlling membrane coating suspension or solution can be prepared by suspending or dissolving an appropriate amount of a release rate controlling membrane coating polymer into a suitable solvent system. Such a system, is, *e.g.* purified water or an alcohol, preferably ethanol which may be denatured with, for example, butanone, dichloromethane which may be admixed with an alcohol, preferably methanol or

15

20

25

30

ethanol. The amount of release rate controlling membrane coating polymer in the spraying suspension or solution may range from 5 to 40% (w/w) and preferably is about 30%. The release rate controlling membrane coating spraying suspension or solution is advantageously stirred during the spraying process. The parameter setting for conducting this last step is essentially similar to that used in the previous coating processes. Appropriate conditions are described in more detail in the example hereinafter.

All coating processes are preferably conducted under an inert atmosphere of *e.g.*nitrogen. The coating equipment should preferably be grounded and provided with an appropriate solvent recovery system containing an efficient condensing system.

The particles may be filled in hard-gelatin capsules using standard automatic capsule filling machines. Suitable earthing and de-ionisation equipment can advantageously prevent development of electrostatic charges.

Capsule filling speed may influence weight distribution and should be monitored. Good results are obtained when operating the equipment at about 75% to 85% of the maximum speed and in many cases when operating at full speed.

Dosage forms according to the present invention having an advantageous pharmacokinetic profile as outlined, namely a fast onset and level peak and trough values, are capable of releasing in 500 ml USP buffer (pH 6.8) at 37°C in an Apparatus 2 (USP 23, <711> Dissolution, pp 1791-1793, paddle, 50 rpm) from 20 to 40 % of the total amount of galantamine.HBr in 1 hour, and more than 80 % of the total amount of galantamine.HBr in 10 hours. Said dosage forms provide a mean maximum plasma concentration of galantamine from 10 to 60 ng/ml and a mean minimum plasma concentration from 3 to 15 ng/ml after repeated administration every day through steady-state conditions.

The formulations according to the present invention deliver a therapeutically effective amount of galantamine to a patient during the 24 hours following a single once daily administration.

35 The present invention also concerns pharmaceutical packages suitable for commercial sale comprising a container, a formulation of galantamine as claimed in claim 1, and associated with said package written matter specifying how said formulation should be administered.

WO 00/38686 PCT/EP99/10257

-11-

Said pharmaceutical packages may be adapted for titrating a patient who is 'acetylcholine esterase inhibitor'-naïve, i.e. a patient who has not been exposed to an acetylcholine esterase inhibitor before and who should start with small, well-tolerated doses before being exposed to ever higher doses until the optimal dose is reached. Said packages typically comprises 21-35 daily sequential dosage units of

- (a) a first group of 7 to 14 dosage units comprising from 5 to 10 mg galantamine,
- (b) a second group of 7 to 14 dosage units comprising from 10 to 20 mg galantamine,
- (c) a third group of 7 to 14 dosage units comprising from 15 to 30 mg galantamine, and
- (d) optionally a fourth group of 7 dosage units comprising from 20 to 40 mg galantamine.

Alternatively, the pharmaceutical packages may be adapted for treating a patient who is 'acetylcholine esterase inhibitor'-tolerant, i.e. a patient who has been exposed to an acetylcholine esterase inhibitor before and who tolerates an optimal dose. Said packages typically comprises daily dosage units comprising from 15 to 30 mg galantamine.

A method of treating Alzheimer's dementia and related dementias in a human while substantially reducing (avoiding) the concomitant liability of adverse effects associated with acetyl cholinesterase inhibitors, comprising administering to a human in need of such treatment, a therapeutically effective amount of galantamine in a controlled release formulation as claimed in claim 1, said amount being sufficient to alleviate said Alzheimer's dementia and related dementias, but insufficient to cause said adverse effects.

25

5

10

15

20

The related dementia belongs to the group consisting of vascular dementia, Lewy body disease, autism, mental retardation, bipolar disorder psychiatric conditions, disruptive behaviour, attention deficit hyperactivity disorder, substance abuse, extreme aggression, especially conduct disorder, nicotine cessation and withdrawal.

30

The adverse effects belong to the group comprising nausea, vomiting, sweating, restlessness, and insomnia.

-12-

Experimental part

Example 1: 8 mg galantamine CR oral capsule (F1)

Ingredients:

	galantamine hydrobromide	10.253 mg (8	mg galantamine base)
5	sugar spheres (18-20 mesh)	63.283 mg	
	HPMC 2910 5 mPa.s	1.465 mg	
	purified water	37.105 μΙ	*
	HPMC 2910 5 mPa.s	1.500 mg	
	polyethylene glycol 400	0.150 mg	
10	purified water	23.350 μl	*
	ethylcellulose aqueous dispersion	10.220 mg	(30 %)
	dibutyl sebacate	0.736 mg	
	purified water	$10.220~\mu l$	*
	capsule nr. 4		

*: these ingredients do not occur in the end product

Preparation:

a) Drug coat suspension

Galantamine hydrobromide (123 g) was suspended in 297 ml purified water and heated to 70 -80 °C. HPMC 2910 5 mPa.s (17.58 g) was dissolved in the heated supension whilst stirring.

b) Seal coat solution

Purified water (93. 4 g) was heated to 70 - 80°C and HPMC 2910 5 mPa.s (18 g) and polyethylene glycol 400 (1.8 g) were dissolved therein. The solution was then further diluted with purified water (186.8 g).

c) Release rate controlling membrane coat dispersion

To a gently stirred aqueous dispersion of ethylcellulose (122.6 g; 30 %) was added dibutyl sebacate (8.832 g). The dispersion was diluted with purified water (122.6 g).

d) Coating process

A fluidized-bed granulator (Glatt, type WSG 1) equipped with a 6 inch Wurster

(bottom spray) insert was loaded with 18-20 mesh sugar spheres (759.4 g). The spheres were warmed with dry air of about 50°C. The fluidizing air volume was controlled by opening the exhaust air valve to approximately 45 % of its maximum. The drug coat suspension was sprayed on the spheres moving in the apparatus. The suspension was sprayed at a delivery rate of about 5 to 30 g.min⁻¹ at an atomizing air pressure of about 1.6 to 4.0 bar (0.16 -0.4 MPa). When the spraying process was completed, the coated spheres were dried by further supplying dry air of 60°C for about 2 minutes. The

-13-

coated spheres were then seal coated with the sealcoat solution using the same parameters as used in the drug coating process. After drying for about 2 minutes, the seal coated spheres were allowed to cool to room temperature and filled into a stainless steel drum.

- The fluidized-bed granulator (Glatt, type WSG 1) equipped with a 6 inch Wurster (bottom spray) insert was reloaded with the seal coated spheres. The spheres were warmed with dry air of about 50°C. The fluidizing air volume was controlled by opening the exhaust air valve to approximately 45 % of its maximum. The release rate controlling membrane coat suspension was sprayed on the spheres moving in the apparatus. The suspension was sprayed at a delivery rate of about 5 to 30 g.min⁻¹ at an atomizing air pressure of about 1.6 to 4.0 bar (0.16 -0.4 MPa). After drying for about 2 minutes, the controlled release membrane coated spheres were allowed to cool to room temperature and filled into a stainless steel drum.
 - e) drying and curing process
- In order to remove agglomerates, the coated spheres were sieved using a sieve having a mesh width of 1.2 mm. The particles were placed in a drying oven at 60°C during 2 hours so as to cure the release rate controlling membrane.

f) capsule filling

The particles were filled into hard-gelatin capsules (size 4) using standard automatic capsule filling machines (e.g. Model GFK-1500, Höffliger and Karg. Germany). In order to obtain capsules with good weight distribution, capsule filling speed was reduced to about 75-85% of the maximum speed. Each capsule received approximately 87.6 mg particles, equivalent to about 8 mg galantamine.

25 Example 2: 8 mg galantamine CR oral capsule (F2)

Ingredients:

	galantamine hydrobromide	10.253 mg (8	mg galantamine base)
	sugar spheres (18-20 mesh)	63.283 mg	
	HPMC 2910 5 mPa.s	1.465 mg	
30	purified water	$37.105 \mu l$	*
	HPMC 2910 5 mPa.s	1.500 mg	
	polyethylene glycol 400	0.150 mg	
	purified water	23.350 μΙ	*
	ethylcellulose aqueous dispersion	25.550 mg	(30 %)
35	dibutyl sebacate	1.840 mg	
	purified water	$25.550 \mu l$	*
	capsule nr. 4		

15

-14-

*: these ingredients do not occur in the end product *Preparation*:

The preparation was identical to that described in Example 1 except for the preparation of the release rate controlling membrane dispersion.

c) Release rate controlling membrane coat dispersion

To a gently stirred aqueous dispersion of ethylcellulose (306.6 g; 30 %) was added dibutyl sebacate (22.08 g). The dispersion was diluted with purified water (306.6 g).

Example 3: Bioavailability

The bioavailability of a single oral administration of the two controlled release formulations of examples 1 and 2 was compared with that of an immediate release tablet (F3) [WO-97/47304] comprising 4 mg galantamine which was administered twice daily with an interval of 8 hours. Galantamine plasma levels in healthy volunteers (12) were determined by HPLC and the mean values calculated from the individual measurements are reported in the following table.

time (h)	F1	F2	F3
0	nd	nd	nd
0.5	1.6	nd	16.4
1	7.3	nd	24.1
1.5	11.5	nd	20.3
2	16.3	1.8	18.3
3	23.8	3.7	16.9
4	26.7	6.3	14.5
6	25.2	9.5	11.3
8	22.5	10.5	9.3
8.5			18
9			24.5
9.5			25.5
10	18.6	11.1	23.7
11			22.7
12	15.1	12.0	19.3
14	13.4	12.4	15.2
16	10.8	11.7	12.7
24	6.0	8.8	6.6

-15-

time (h)	F1	F2	F3
30	3.5	6.1	3.5
36	2.0	4.3	2.0
48	nd	1.6	nd

nd: not detectable (< 1 ng/ml)

Example 4: oral capsule (F4) comprising 8 mg galantamine

(75 % CR pellets and 25 % IR tablet)

Ingredients:

_	ingreatents.	62.482
5	sugar spheres (18-20 mesh)	63.482 mg
	galantamine hydrobromide	7.69 mg (6 mg galantamine base)
	HPMC 2910 5 mPa.s	0.641 mg
	purified water	42.932 μl *
	HPMC 2910 5 mPa.s	1.436 mg
10	polyethylene glycol 400	0.145 mg
	methylene chloride	12.385 µl *
	ethanol 96 % (v/v)	10.858 mg *
	HPMC 2910 5 mPa.s	1.101 mg
	ethylcellulose 20 mPa.s	3.308 mg
15	diethyl phthalate	0.881 mg
	methylene chloride	31.077 μl *
	ethanol 96 % (v/v)	27.244 mg *
	galantamine hydrobromide	2.563 mg (2 mg galantamine base)
	spray-dried mixture of lactose mono	hydrate
20	and microcrystalline cellulose (75:25	5) 49.302 mg
	colloidal anhydrous silica	0.11 mg
	crospolyvidone	2.750 mg
	•	0.275

25 *: these ingredients do not occur in the end product

Preparation:

a) Drug layer suspension

magnesium stearate

Galantamine hydrobromide was suspended in purified water and heated to 70 -80 °C.

0.275 mg

30 HPMC 2910 5 mPa.s was dissolved in the heated supension whilst stirring.

b) Seal coat solution

Methylene chloride and ethanol were mixed together and polyethylene glycol and HPMC 2910 5 mPa.s were dissolved therein.

5 c) Release rate controlling membrane coat solution
 Methylene chloride and ethanol were mixed and ethylcellulose 20 mPa.s, HPMC 2910
 5 mPa.s and diethyl phthalate were added while stirring the solution.

d) Layering and coating process

- 10 A fluidized-bed granulator (Glatt) equipped with a Wurster (bottom spray) insert was loaded with 18-20 mesh sugar spheres. The spheres were warmed with dry air of about 50°C. The fluidizing air volume was controlled by opening the exhaust air valve to approximately 45 % of its maximum. The drug layer suspension was sprayed on the spheres moving in the apparatusWhen the spraying process was completed, the layered spheres were dried by further supplying dry air of 60°C for about 2 minutes. The layered spheres were then seal coated with the seal coat solution using the same parameters as used in the drug coating process. After drying for about 2 minutes, the seal coated spheres were allowed to cool to room temperature and filled into a stainless steel drum.
- The fluidized-bed granulator (Glatt) equipped with a Wurster (bottom spray) insert was reloaded with the seal coated spheres. The spheres were warmed with dry air of about 50°C. The fluidizing air volume was controlled by opening the exhaust air valve to approximately 45 % of its maximum. The release rate controlling membrane coat suspension was sprayed on the spheres moving in the apparatus. After drying for about 2 minutes, the controlled release membrane coated spheres were allowed to cool to room temperature, sieved and filled into a stainless steel drum.

e) immediate release minitablet

Galantamine hydrobromide, spray-dried mixture of lactose monohydrate

and microcrystalline cellulose (75:25), colloidal anhydrous silica, crospolyvidone and
magnesium stearate were mixed in a planetary mixer and compressed in a tabletting
machine, thus preparing minitablets of 55 mg weight.

f) capsule filling

The coated spheres and the immediate release minitab were filled into hard-gelatin capsules (size 0) using standard automatic capsule filling machines (e.g. Model GFK-1500, Höffliger and Karg. Germany).

-17-

Example 5: galantamine oral capsules (F5, F6, F7, F8) (75 % CR pellets and 25 % IR topcoat)

Ingredients:

sugar spheres (18-20 mesh) 63.624 mg

5 galantamine hydrobromide 7.69 mg (6 mg galantamine base)

HPMC 2910 5 mPa.s and PEG 400 12.687 mg (Opadry™ OY-7240 Clear, Colorcon)

purified water 267.693 µl *

HPMC 2910 5 mPa.s 1.260 mg
ethylcellulose 20 mPa.s 3.780 mg

10 diethyl phthalate 1.008 mg
methylene chloride 46.772 µl
ethanol 96 % (v/v) 31.184 mg

galantamine hydrobromide 2.563 mg (2 mg galantamine base) HPMC 2910 5 mPa.s and PEG 400 4.229 mg (Opadry OY-7240 Clear)

15 purified water 89.321 μl *

capsules size nr. 4, 2, 1 and 0.

20 Preparation:

a) Drug coat solution

Galantamine hydrobromide and Opadry OY-7240 Clear were dissolved in purified water at room temperature.

- b) Release rate controlling membrane coat solution
 Methylene chloride and ethanol were mixed and ethylcellulose 20 mPa.s, HPMC 2910
 5 mPa.s and diethyl phthalate were added while stirring the solution.
 - c) Drug topcoat solution
- Galantamine hydrobromide and Opadry™ OY-7240 Clear were dissolved in purified water at room temperature.
 - d) Coating process

A fluidized-bed granulator (Glatt) equipped with a Wurster (bottom spray) insert was loaded with 18-20 mesh sugar spheres. The spheres were warmed with dry air of about 50°C. The fluidizing air volume was controlled by opening the exhaust air valve to approximately 45 % of its maximum. The drug coat solution was sprayed on the

^{*:} these ingredients do not occur in the end product

spheres moving in the apparatus. When the spraying process was completed, the coated spheres were dried by further supplying dry air of 60°C for about 2 minutes. The release rate controlling membrane coat solution was sprayed on the spheres moving in the apparatus. After drying for about 2 minutes, the controlled release membrane coated spheres were sprayed with the drug topcoat solution. After drying for about 2 minutes, the topcoated spheres were allowed to cool to room temperature, sieved and were filled into a stainless steel drum.

e) capsule filling

The topcoated spheres (96.841 mg; 193.683 mg; 290.524; 387.365 mg) were filled into hard-gelatin capsules (size numbers 4, 2, 1, 0) using standard automatic capsule filling machines (e.g. Model GFK-1500, Höffliger and Karg. Germany) yielding oral capsules containing respectively 8 mg, 16 mg, 24 mg and 32 mg galantamine base.

Example 6: galantamine oral capsules (F9)

(75 % CR pellets and 25 % IR topcoat)

Spheres having slightly faster release were made by lowering the ratio of ethylcellulose 20 mPa.s to HPMC 2910 5 mPa.s to 72.5 : 27.5 (as compared to the ratio 75 : 25 in the previous example.

20

Example 7: In vitro dissolution of the topcoated spheres

Comparative *in-vitro* dissolutions studies were performed on the topcoated spheres formulations F5 to F8 and F9. The medium was 500 ml of USP buffer pH 6.8 at 37°C in Apparatus 2 (USP 23, <711> Dissolution, pp. 1791-1793) (paddle, 50 rpm).

25 The following results were obtained:

F5 to F8

	Calculated	Calculated concentration (% w/w) of the active dose					
Time	sample 1	sample 2	sample 3	sample 4	sample 5	sample 6	average
(min)							
0	0.00	0.00	0.00	0.00	0.00	0.00	0.00
60	32.20	30.44	37.47	30.44	30.44	38.05	33.17
120	42.54	41.37	48.39	41.37	42.54	42.54	43.13
240	58.93	60.10	65.96	61.27	61.27	61.27	61.47
480	85.47	80.20	84.30	81.96	81.37	80.79	82.35
720	91.52	90.35	97.37	99.13	92.11	87.42	92.98
1080	96.59	97.18	102.45	102.45	99.52	98.94	99.52
1410	96.40	97.57	103.42	103.42	100.5	101.08	100.40

F9

	Calculated concentration (% w/w) of the active dose						
Time	sample 1	sample 2	sample 3	sample 4	sample 5	sample 6	average
(min)							
0	0.00	0.00	0.00	0.00	0.00	0.00	0.00
60	38.9	36.0	36.5	39.5	33.4	37.2	32.86
120	60.3	55.7	56.9	60.4	60.9	55.1	58.2
240	89.5	81.8	86.2	86.7	91.2	84.0	86.6
480	103.4	103.6	95.9	101.7	100.8	105.8	101.9
720	107.0	99.0	104.0	103.1	109.1	100.8	103.8

15

30

Claims

- A controlled release formulation containing galantamine as the active ingredient, characterized in that it comprises particles comprising galantamine or a pharmaceutically acceptable acid addition salt thereof, a water soluble pharmaceutically acceptable excipient and optionally other pharmaceutically acceptable excipients, said particles being coated by a release rate controlling membrane coating.
- 2. A formulation according to claim 1 wherein galantamine is in the form of galantamine hydrobromide (1:1).
 - 3. A formulation according to claim 1 wherein the water soluble excipient is a film forming polymer.
 - 4. A formulation according to claim 3 wherein the water soluble film forming polymer is a polymer that has an apparent viscosity of 1 to 100 mPa.s when dissolved in a 2 % aqueous solution at 20°C solution.
- 5. A formulation according to claim 4 wherein the water soluble polymer is selected from the group comprising
 - alkylcelluloses such as methylcellulose,
 - hydroxyalkylcelluloses such as hydroxymethylcellulose, hydroxyethylcellulose,
- 25 hydroxypropylcellulose and hydroxybutylcellulose,
 - hydroxyalkyl alkylcelluloses such as hydroxyethyl methylcellulose and hydroxypropyl methylcellulose,
 - carboxyalkylcelluloses such as carboxymethylcellulose,
 - alkali metal salts of carboxyalkylcelluloses such as sodium carboxymethylcellulose,
 - carboxyalkylalkylcelluloses such as carboxymethylethylcellulose,
 - carboxyalkylcellulose esters,
 - starches,
 - pectines such as sodium carboxymethylamylopectine,
- chitine derivates such as chitosan,
 - polysaccharides such as alginic acid, alkali metal and ammonium salts thereof, carrageenans, galactomannans, traganth, agar-agar, gummi arabicum, guar gummi and xanthan gummi,

10

20

- polyacrylic acids and the salts thereof,
- polymethacrylic acids and the salts thereof, methacrylate copolymers,
- polyvinylalcohol,
- polyvinylpyrrolidone, copolymers of polyvinylpyrrolidone with vinyl acetate
- polyalkylene oxides such as polyethylene oxide and polypropylene oxide and copolymers of ethylene oxide and propylene oxide.
- 6. A formulation according to claim 5 wherein the water soluble polymer is hydroxypropyl methylcellulose HPMC 2910 5 mPa.s.
- 7. A formulation according to claim 6 wherein the weight-by-weight ratio of hydroxypropyl methylcellulose HPMC 2910 5 mPa.s to galantamine is in the range of 17:1 to 1:5.
- 15 8. A formulation according to claim 2 wherein galantamine hydrobromide (1:1) and the water soluble, film forming polymer are layered or coated on an inert sphere.
 - 9. A formulation according to claim 8 wherein the inert spheres are 16-60 mesh (1,180-250 m) sugar spheres (NF XVII, page 1989).
 - 10. A formulation according to claim 1 wherein the release rate controlling membrane coating comprises a water insoluble polymer and optionally a plasticizer.
- 11. A formulation according to claim 10 wherein the water insoluble polymer is
 25 ethylcellulose and the plasticizer is selected from the group comprising dibutyl sebacate, diethyl phthalate and triethyl citrate.
 - 12. A formulation according to claim 11 wherein the weight of the release rate controlling membrane coating ranges from 3 % to 15 % of the uncoated particle.
 - 13. A formulation according to claim 1 wherein a seal coat lies between the drug core and the release rate controlling membrane coating.
- 14. A formulation according to any one of claims 1 to 13 further comprising a topcoat comprising galantamine and water-soluble polymer.
 - 15. A formulation according to claim 14 capable of releasing in USP buffer pH 6.8 at 37°C in an Apparatus 2 (USP 23, <711> Dissolution, pp 1791-1793, paddle, 50

20

- rpm) from 20 to 40 % of the total amount of galantamine.HBr in 1 hour, and more than 80 % of the total amount of galantamine.HBr in 10 hours
- 16. A dosage form comprising a therapeutically effective amount of the controlled
 release formulation of any of claims 1 to 15.
 - 17. A dosage form according to claim 16 which delivers a therapeutically effective amount of galantamine to a patient during the 24 hours following a single once daily administration.
 - 18. A dosage form according to claim 16 wherein part of the galantamine is present in an immediate release form.
- 19. A dosage form according to claim 18 wherein said immediate release form
 comprises particles as described in claim 1 lacking the release rate controlling membrane.
 - 20. A dosage form according to claim 18 wherein said immediate release form comprises immediate release minitablets.
 - 21. A dosage form according to claim 18 wherein said immediate release form comprises a controlled release formulation of claim 14.
- 22. A dosage form according to claim 16 providing a mean maximum plasma concentration of galantamine from 10 to 60 ng/ml and a mean minimum plasma concentration from 3 to 15 ng/ml after repeated administration every day through steady-state conditions.
- 23. A pharmaceutical package suitable for commercial sale comprising a container, a formulation of galantamine as claimed in claim 1, and associated with said package written matter specifying how said formulation should be administered.
 - 24. A pharmaceutical package as claimed in claim 23 adapted for titrating a patient who is 'acetylcholine esterase inhibitor'-naïve, characterized in that said package comprises 21-35 daily sequential dosage units of
 - (a) a first group of 7 to 14 dosage units comprising from 5 to 10 mg galantamine,
 - (b) a second group of 7 to 14 dosage units comprising from 10 to 20 mg galantamine,

- (c) a third group of 7 to 14 dosage units comprising from 15 to 30 mg galantamine, and
- (d) optionally a fourth group of 7 dosage units comprising from 20 to 40 mg galantamine.
- 25. A pharmaceutical package as claimed in claim 23 adapted for treating a patient who is 'acetylcholine esterase inhibitor'-tolerant, characterized in that said package comprises daily dosage units comprising from 15 to 30 mg galantamine.
- 26. A process of preparing a formulation according to claim 1 comprising admixing galantamine or a pharmaceutically acceptable salt form thereof with a water soluble excipient to form a drug core, optionally applying a seal coat to the drug core, and thereafter applying the release rate controlling membrane coating.
- 27. A method of treating Alzheimer's dementia and related dementias in a human while substantially reducing (avoiding) the concomitant liability of adverse effects associated with acetyl cholinesterase inhibitors, comprising administering to a human in need of such treatment, a therapeutically effective amount of galantamine in a controlled release formulation as claimed in claim 1, said amount being sufficient to alleviate said Alzheimer's dementia and related dementias, but insufficient to cause said adverse effects.
- 28. A method accoring to claim 27 wherein the related dementia belongs to the group consisting of vascular dementia, Lewy body disease, autism, mental retardation,
 25 bipolar disorder psychiatric conditions, disruptive behaviour, attention deficiet, hyperactivity disorder, substance abuse, extreme aggression, especially conduct disorder, nicotine cessation and withdrawal.
- 29. A method according to claim 27 wherein the adverse effects belong to the group comprising nausea, vomiting, sweating, restlessness, and insomnia.

Group Art Unit: Not yet known

Examiner: Not yet assigned

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

John Paul MCGEE; Paul Marie Victor GILIS; Marc Maurice Germain DE WEER; Valentin Florent Victor de CONDE; Herman Johannes Catherina de BRUIJN and, Frederic Anne Rodolf VAN DYCKE

International Application No.: PCT/EP99/10257

International Filing Date: 20 December 1999

For: CONTROLLED RELEASE

GALANTAMINE COMPOSITION

Assistant Commissioner for Patents Washington DC 20231

Sir:

ASSOCIATE POWER OF ATTORNEY

The undersigned, of the firm WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP, One Liberty Place - 46th Floor, Philadelphia, Pennsylvania 19103, Attorney and/or Agents for Applicant(s), hereby appoints the following:

Richard E. Kurtz	Registration No. 19,263	David A. Cherry	Registration No. 35,099
Dale M. Heist	Registration No. 28,425	Robert B. Washburn	Registration No. 16,574
John W. Caldwell	Registration No. 28,937	Norman L. Norris	Registration No. 24,196
Gary H. Levin	Registration No. 28,734	Lynn A. Malinoski	Registration No. 38,788
Steven J. Rocci	Registration No. 30,489	Steven B. Samuels	Registration No. 37,711
Dianne B. Elderkin	Registration No. 28,598	Anthony J. Rossi	Registration No. 24,053
John P. Donohue, Jr.	Registration No. 29,916	Terence P. Strobaugh	Registration No. 25,460
Henrik D. Parker	Registration No. 31,863	Michael J. Swope	Registration No. 38,041
Suzanne E. Miller	Registration No. 32,279	Michael J. Bonella	Registration No. 41,628
Lynn B. Morreale	Registration No. 32,842	Harold H. Fullmer	Registration No. 42,560
Mark DeLuca	Registration No. 33,229	John E. McGlynn	Registration No. 42,863
Joseph Lucci	Registration No. 33,307	Jonathan M. Waldman	Registration No. 40,861
Michael P. Dunnam	Registration No. 32,611	Paul K. Legaard	Registration No. 38,534
Michael D. Stein	Registration No. 34,734	Chad Ziegler	Registration No. 44,273
Albert J. Marcellino	Registration No. 34,664	Gwilym J.O. Attwell	Registration No. 45,449
David R. Bailey	Registration No. 35,057	Maureen S. Gibbons	Registration No. 44,121
Doreen Yatko Trujillo	Registration No. 35,719	Steven H. Meyer	Registration No. 37,189
Barbara L. Mullin	Registration No. 38,250	Paul B. Milcetic	Registration No. 46,261
Michael P. Straher	Registration No. 38,325	Joseph R. Condo	Registration No. 42,431
Kevin M. Flannery	Registration No. 35,871	Michael K. Jones	Registration No. 41,100

Frank T. Carroll	Registration No. 42,392
Mark J. Rosen	Registration No. 39,822
Mitchell R. Brustein	Registration No. 38,394
Eric H. Vance	Registration No. 47,151
Peter M. Ullman	Registration No. 43,963
Thomas E. Watson	Registration No. 43,243
Richard B. LeBlanc	Registration No. 39,495
Joseph D. Rossi	Registration No. 47,038
Rosaleen P. Morris	Registration No. 47,321
George J. Awad	Registration No. 46,528
Steven D. Maslowski	Registration No. 46,905
S. Maurice Valla	Registration No. 43,966
Vincent J. Roccia	Registration No. 43,887
Robin S. Quartin	Registration No. 45,028
Patrick J. Farley	Registration No. 42,524
Gregory L. Hillyer	Registration No. 44,154
Susan C. Murphy	Registration No. 46,221
David L. Marcus	Registration No. 46,897
Christine A. Goddard	Registration No. 46,731
Ellen M. Klann	Registration No. 44,836
Andrew J. Hagerty	Registration No. 44,141
Emma R. Dailey	Registration No. P48,491
Stephen C. Timmins	Registration No. P48,481
Erich M. Falke	Registration No. P49,049
Jane Smith Ingelese	Registration No. P48,444
=	

his/her associates with full power to prosecute the above-identified application and to transact all business in the Patent Office connected therewith and requests that correspondence continue to be directed to the firm of WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP at the above address.

Date: 6/21/01

David N. Farsiou

Registration No. 44,104

Woodcock Washburn Kurtz Mackiewicz & Norris LLP One Liberty Place - 46th Floor Philadelphia PA 19103

Telephone: (215) 568-3100 Facsimile: (215) 568-3439

© 2000 WWKMN

Group Art Unit: Not yet known

Examiner: Not yet assigned

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

John Paul MCGEE; Paul Marie Victor GILIS; Marc Maurice Germain DE WEER; Valentin Florent Victor de CONDE; Herman Johannes Catherina de BRUIJN and, Frederic Anne Rodolf VAN DYCKE

International Application No.: PCT/EP99/10257

International Filing Date: 20 December 1999

For: CONTROLLED RELEASE

GALANTAMINE COMPOSITION

Assistant Commissioner for Patents Washington DC 20231

Sir:

ASSOCIATE POWER OF ATTORNEY

The undersigned, Mary A. Appollina, Registration No. 34,087, of **Johnson & Johnson**, One Johnson Plaza, New Brunswick, N.J. 08933-7002, hereby appoints the following of the firm **WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP**, One Liberty Place - 46th Floor, Philadelphia, Pennsylvania 19103, Attorney and/or Agents for Applicant(s):

Richard E. Kurtz	Registration No. 19,263	Michael P. Straher	Registration No. 38,325
Dale M. Heist	Registration No. 28,425	Kevin M. Flannery	Registration No. 35,871
John W. Caldwell	Registration No. 28,937	David A. Cherry	Registration No. 35,099
Gary H. Levin	Registration No. 28,734	Robert B. Washburn	Registration No. 16,574
Steven J. Rocci	Registration No. 30,489	Norman L. Norris	Registration No. 24,196
Dianne B. Elderkin	Registration No. 28,598	Lynn A. Malinoski	Registration No. 38,788
John P. Donohue, Jr.	Registration No. 29,916	Steven B. Samuels	Registration No. 37,711
Henrik D. Parker	Registration No. 31,863	Anthony J. Rossi	Registration No. 24,053
Suzanne E. Miller	Registration No. 32,279	Terence P. Strobaugh	Registration No. 25,460.
Lynn B. Morreale	Registration No. 32,842	Michael J. Swope	Registration No. 38,041
Mark DeLuca	Registration No. 33,229	Michael J. Bonella	Registration No. 41,628
Joseph Lucci	Registration No. 33,307	Harold H. Fullmer	Registration No. 42,560
Michael P. Dunnam	Registration No. 32,611	John E. McGlynn	Registration No. 42,863
Michael D. Stein	Registration No. 34,734	Jonathan M. Waldman	Registration No. 40,861
Albert J. Marcellino	Registration No. 34,664	Paul K. Legaard	Registration No. 38,534
David R. Bailey	Registration No. 35,057	Chad Ziegler	Registration No. 44,273
•	Registration No. 35,719	Gwilym J.O. Attwell	Registration No. 45,449
Doreen Yatko Trujillo	Registration No. 38,250	David N. Farsiou	Registration No. 44,104
Barbara L. Mullin	Registration No. 30,230	Davia I I molou	



Maureen S. Gibbons	Registration No. 44,121
Steven H. Meyer	Registration No. 37,189
Paul B. Milcetic	Registration No. 46,261
Joseph R. Condo	Registration No. 42,431
Michael K. Jones	Registration No. 41,100
Frank T. Carroll	Registration No. 42,392
Mark J. Rosen	Registration No. 39,822
Mitchell R. Brustein	Registration No. 38,394
Eric H. Vance	Registration No. 47,151
Peter M. Ullman	Registration No. 43,963
Thomas E. Watson	Registration No. 43,243
Richard B. LeBlanc	Registration No. 39,495
Joseph D. Rossi	Registration No. 47,038
Rosaleen P. Morris	Registration No. 47,321
George J. Awad	Registration No. 46,528
Steven D. Maslowski	Registration No. 46,905
S. Maurice Valla	Registration No. 43,966
Vincent J. Roccia	Registration No. 43,887
Robin S. Quartin	Registration No. 45,028
Patrick J. Farley	Registration No. 42,524
Gregory L. Hillyer	Registration No. 44,154
Susan C. Murphy	Registration No. 46,221
David L. Marcus	Registration No. 46,897
Christine A. Goddard	Registration No. 46,731
Ellen M. Klann	Registration No. 44,836
Andrew J. Hagerty	Registration No. 44,141
Emma R. Dailey	Registration No. P48,491
Stephen C. Timmins	Registration No. P48,481
Erich M. Falke	Registration No. P49,049
Jane Smith Ingelese	Registration No. P48,444

his/her associates with full power to prosecute the above-identified application and to transact all business in the Patent Office connected therewith and requests that correspondence continue to be directed to the firm of **WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP** at One Liberty Place - 46th Floor, Philadelphia PA 19103; Telephone: (215) 568-3100; Facsimile: (215) 568-3439.

Date: July 11, 2001

Mary A. Appollina
Registration No. 34,087
Johnson & Johnson

Attorney Docket Numb	er JAB 1461-PCT-USA
First Named Inventor	McGee, John Paul
COMPLET	E IF KNOWN
Application Number	/
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inver	As a selow named inventor, I nerepy declare that:							
My residence, post office address, and citizenship are as stated below next to my name								
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:								
CONTROLLED RELEASE GALANTAMINE COMPOSITION								
the specification of which	ר							
is attached hereto OR								
was filed on (MM/D	12/24/1998	as Unite	d States Applica	tion Number or P	CT International			
Application Number PC	T/EP99/10257 and w	as amended on (MM/DD/Y	vvv) [(if applicable).			
I hereby state that I have re	eviewed and understand the	contents of the above ident		n, including the c	aims, as			
, ,	ent specifically referred to abo							
acknowledge the duty to	disclose information which is	material to patentability as	defined in 37 CF	R 1.56.				
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.								
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Cop	oy Attached?			
98204447.1	E.P. 12/24/1998							
Additional foreign applic	ation numbers are listed on a	supplemental priority data	sheet PTO/SB/0	2B attached here	eto:			
	under 35 U.S.C. 119(e) of an	y United States provisional	application(s) lis	ted below				
Application Number	r(s) Filing Date	e (MM/DD/YYYY)	numbe supple	onal provisional ers are listed or emental priority SB/02B attache	n a data sheet			
	ı	1			1			

[Page 1 of 4]
Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

The state of the s

1-00

	PTO/SB/01 (12-97)
Please type a plus sign (+) inside this box -> [+]	Approved for use through 9/30/00. OMB 0651-0032
	Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no pers	sons are required to respond to a collection of information unless it contains
a valid OMB control number	,

DEC	CLA	RATIO	<u>N —</u>	<u>- Utilit</u>	y or [Desig	n Pate	ent /	App	<u>licati</u>	<u>on</u>
United States United States information wh	of Amerion or PCT In Anich is ma	fit under 35 U.S.t ca, listed below a ternational applic terial to patental international filin	and, inso ation in to oility as o	ofar as the sub the manner pro defined in 37 C	ject matter ovided by the FR 1.56 wh	of each of th	ne claims of th	nis applic	ation is	not disclose	d in the prior
U.	S. Pare	ent Applicati Numb		PCT Parent			iling Date D/YYYY)			nt Patent if applica	
		CT international									
		ereby appoint the nnected therewit	th: 🔲	Customer Num OR	ber				▶ ┌	t all busines: Place Cus Number Ba	tomer
			区	Registered pra		ame/registra	ition number li	sted belo	w	Label h	
	Nam	e			ration iber		Nan	ne			istration umber
Audley A.				-26,051			chael Star			32,49	
Steven P.		n	Ì	24,772 30,194	_	I	en C. Cole			34,14	
Andrea L.	COIDY		1	30,194	•	I Ma	ry A. Appo	Jiii la		34,00	
Additional	registere	d practitioner(s) r	named or	n supplemental	Registered	Practitioner	Information sh	eet PTO	/SB/02C	attached he	reto.
Direct all corr	responde			er Number Code Label			OR	□ c	orrespo	ndence ad	dress below
Name	Aud	ley A. Ciam	porcei	ro, Jr.							
Address -	John	son & Johns	son								
Address	One	Johnson &	Johns	on Plaza	to the same of the		·				
City	New	Brunswick				State	NJ	ZIP	0893	33-7003	
Country	USA			Telephor	ie (732	2) 524-23	59	Fax	(732	2) 524-28	808
believed to be punishable by	true; and fine or in	I statements ma d further that the nprisonment, or t issued thereon.	ese state both, un	ements were m	ade with th	e knowledge	that willful fa	alse state	ements a	and the like	so made are
Name of Se	ole or F	irst Invento	r:			☐ A petiti	on has been	filed fo	r this ur	nsigned inv	entor
Gi	iven Nar	ne (first and m	iddle [if	any])			Famil	y Name	or Sur	name	
John Pa	aul					\	lcGee				
inventor's Signature		$\langle Z \rangle$								Date	2000
Residence: (City	Antwerper	<u></u>	State		Country	Belgiu	n BE	51	Citizenship	Great Br
Post Office A	ddress	Otto Veni	us Str	aat 21, bu	s 3/2						
Post Office A	ddress					,					
City		Antwerpen	State		ZIP	2000)	Cou	ntry	Belgiu	m
X Additional	invente	rs are being na	med c	the 2 cu	onlemente	I Additional	Inventor(s)	choct/c	DTO/S	R/02A atta	ched beret

PTO/SB/02A (3-97)

Approved for use through 9/30/98 OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page 3_ of _4

Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor											
Given Name (first and middle [if any])						Family Name or Sumame					
Victor Gillis											
					Date	1	1000 1000				
Beerse	State		٥	I I Dolaium " I			Citizens	Citizenship Belgian			
Schransdriesstraat 14											
Beerse	State			ZIP	2340	Countr	y Bel	gium			
nal Joint Inventor, if ar	ıy:			A petitic	n has been file	ed for th	nis unsig	ned inv	ventor		
me (first and middle [if any])				Family Na	me or S	Sumame				
ce Germain De Weer											
Illi				De	ite	2000 2000					
Vosselaar	State		c	Country	Belgium ,	SEX	Citize	nship	Belgian		
Gierlebaan 12											
Vosselaar	State			ZIP	2350	Cour	ntry	Belg	ium		
nal Joint Inventor, if ar	ıy:			A petitic	n has been fil	ed for th	nis unsig	ned in	ventor		
me (first and middle [if any])				Family Na	me or \$	Sumame				
ent Victor			<u>d</u>	e Con	dé						
Aste Com						ite	16 April 2000				
Lommel	Country Belgium Citizens			nship	Belgian						
Kolonie 57											
Lommel	State			ZiP	3920	C	Country	Belg	gium		
	Beerse Schransdriesstraat 1 Beerse al Joint Inventor, if and middle [if any of the content of t	Beerse State Schransdriesstraat 14 Beerse State In al Joint Inventor, if any: In al Gerlebaan 12 Vosselaar State Gierlebaan 12 Vosselaar State In al Joint Inventor, if any: In the County of the State Kolonie 57	Beerse State Schransdriesstraat 14 Beerse State al Joint Inventor, if any: ne (first and middle [if any]) Gerlmain Vosselaar State Gierlebaan 12 Vosselaar State al Joint Inventor, if any: ne (first and middle [if any]) nt Victor Lommel State Kolonie 57	Beerse State Schransdriesstraat 14 Beerse State State General State Gen	Beerse State Country Schransdriesstraat 14 Beerse State ZIP A petition of the country German De Yosselaar State Country Gierlebaan 12 Vosselaar State ZIP A petition of the country Gierlebaan 12 A petition of the country Gierlebaan 12 A petition of the country A petition of the c	Beerse State Country Belgium Schransdriesstraat 14 Beerse State ZIP 2340 A petition has been file first and middle [if any]) Gerlmain De Weer Vosselaar State Country Belgium A Gierlebaan 12 Vosselaar State ZIP 2350 In A petition has been file first and middle [if any]) Family Na De Weer A petition has been file first and middle [if any]) Family Na Gerlmain De Weer A petition has been file first and middle [if any]) Family Na Gerlmain De Weer Family Na Gerlmain Country Belgium A Belgium A Belgium A Gerlmain De Weer Family Na Gerlmain Country Belgium A Belgium A Gerlmain De Weer Family Na Gerl	Gillis Beerse State Country Belgium Schransdriesstraat 14 Beerse State ZIP 2340 Country Belgium Schransdriesstraat 14 Beerse State ZIP 2340 Country Belgium A petition has been filed for the family Name or state Country Belgium De Weer Vosselaar State ZIP 2350 Country Gierlebaan 12 Vosselaar State ZIP 2350 Country Belgium BEX Tall Joint Inventor, if any: In al Joint Inventor if any: Belgium Family Name or state Country Belgium	Beerse State Country Belgium Citizens Schransdriesstraat 14 Beerse State Zip 2340 Country Belgium Citizens Schransdriesstraat 14 Beerse State Zip 2340 Country Belgium Citizens A petition has been filed for this unsign De Weer De Weer De Weer Country Belgium BEX Citizens Toologie Family Name or Sumame Country Belgium BEX Citizens Toologie Family Name or Sumame Country Belgium BEX Citizens Toologie Family Name or Sumame Country Belgium BEX Citizens Toologie Family Name or Sumame Country Belgium BEX Citizens Toologie Family Name or Sumame Country Belgium BEX Citizens Toologie Family Name or Sumame Country Belgium Belgium BEX Citizens Toologie Family Name or Sumame Country Belgium Bel	Beerse State ZIP 2340 Country Belgium Schransdriesstraat 14 Beerse State ZIP 2340 Country Belgium Giffish and middle [if any]) Gelmain De Weer Date Vosselaar State Country Belgium Citizenship Gerlebaan 12 Vosselaar State ZIP 2350 Country Belgium A petition has been filed for this unsigned in the first and middle [if any]) Gelmain De Weer Date Vosselaar State ZIP 2350 Country Belgium A petition has been filed for this unsigned in the first and middle [if any]) Family Name or Sumame Country Belgium A petition has been filed for this unsigned in the first and middle [if any]) Family Name or Sumame A petition has been filed for this unsigned in the first and middle [if any]) Family Name or Sumame Country Belgium Citizenship Country Belgium Citizenship Country Belgium Citizenship Country Belgium Citizenship Country Belgium Citizenship		

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

200

valid OMB control number.

DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page 4 of 4

Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor									entor	
Given Name (first and middle [if any])						Family Na	me or S	Surname		
Herman Joha	annes Catherina		•	de	Bruijn					
Inventor's Signature) little		***					Date	3	9000
Residence: City	Mee	State		C	ountry	あら) Belgium	4	Citizens	hip	3elgian
Post Office Address	Meerleseweg 61									
Post Office Address										
City	Meer	State			ZIP	2321	Countr	y Belg	ium	
Name of Addition	nal Joint Inventor, if ar	ıy:			petitio	n has been file	ed for th	nis unsign	ed inv	entor
Given Na	me (first and middle [if any])				Family Na	me or s	Sumame		
Frederic Anne	Frederic Anne Rodolf Van Dycke									
Inventor's Signature				Date					te	36 April 2000
Residence: City	Antwerpen	State		c	ountry	Belgium E	X	Citize	nship	Belgian
Post Office Address	Van Maerlantstraat	39				<u></u>				
Post Office Address										
City	Antwerpen	State			ZIP	2060	Cou	ntry E	elgiu	m
Name of Additio	nal Joint Inventor, if a	ny:			A petitio	n has been file	ed for the	nis unsigr	ned inv	ventor
Given Na	me (first and middle [if any	/])				Family Na	me or	Surname		
Inventor's Signature									ite	
Residence: City	State Country Citizenship									
Post Office Address										
Post Office Address							- 1 -		1	
City		State			ZIP			Country		

Burden Hour Statement This form is estimated to take 0.4 hours to complete Time will vary depending upon the needs of the individual case Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

5-00